



**O'Domhnaill Enterprises, Inc.**

**Does Business As**

**O'Donnell Wealth Management**

**627 Yellowstone Avenue**

**Cody, WY 82414**

**[www.odonnellwm.com](http://www.odonnellwm.com)**

**October 1, 2023**

**2B Brochure Supplement**

**John Peter Dodenhoff**

This brochure supplement provides information about John Dodenhoff that supplements our brochure. You should have received a copy of that brochure. Please contact John Dodenhoff if you did not receive O'Donnell Wealth Management ("OWM") brochure or if you have any questions about the contents of this supplement. Additional information about John Dodenhoff is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #4576361.

## ITEM 2 – EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

**John Peter Dodenhoff**

**Year of Birth:** 1968

**Educational Background:**

- George Washington University, Bachelor's Degree, Political Science, 1991

**Business Background:**

- 10/2022 to Present LPL Financial, LLC *Registered Representative*
- 01/2020 to Present O'Domhnaill Enterprises Inc. (DBA: O'Donnell Wealth Management), *Director, Trading & Research, Portfolio Manager, Financial Advisor*
- 01/2020 to 10/2022 Saxony Securities, Inc., *Registered Representative*
- 10/2018 to 02/2020 Cody Coffee Roaster, LLC, *Coffee Roaster*
- 09/2017 to 09/2018 Unemployed
- 11/2015 to 08/2017 Buffalo Bill Center of the West, *Volunteer Docent*
- 04/2015 to 10/2015 Unemployed
- 04/2013 to 03/2015 Fort Collins Bike Library, *Volunteer Customer Service Representative*
- 01/2012 to 03/2013 Unemployed

**Exams, Licenses & Other Professional Designations:**

- 06/2020 Series 66 Uniform Combined State Law Examination
- 03/2020 Series 7 General Securities Representative Examination
- 01/2020 SIE Securities Industry Essentials Examination
- Insurance Licenses Life, health & Long Term Disability

## ITEM 3 – DISCIPLINARY INFORMATION

John Dodenhoff has no history of any legal or disciplinary events that deems to be material to a client's consideration of John Dodenhoff to act as their investment adviser representative. He has not been subject to any criminal actions, revocations, or suspensions. FINRA's BrokerCheck® is a resource available to review the disciplinary history of John Dodenhoff. <https://brokercheck.finra.org/>

## ITEM 4 – OTHER BUSINESS ACTIVITIES

John Dodenhoff is a Registered Representative of LPL Financial, LLC ("LPL") a securities broker/dealer, and a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and an investment adviser registered with the U.S Securities and Exchange Commission ("SEC").

As a broker-dealer, LPL engages in a broad range of activities normally associated with securities brokerage firms. Pursuant to the investment advice given by John Dodenhoff, investments in securities may be recommended for you. If LPL is selected as the broker-dealer, it will affect transactions in securities for you, a client of OWM and John Dodenhoff. By serving as the broker-dealer, LPL and John Dodenhoff will receive commissions for executing securities transactions.

You are advised that if LPL is selected as the broker-dealer, the transaction charges may be higher or lower than the charges you may pay if the transactions were executed at other broker-dealers. You

should note, however, that clients always have the right to decide whether to purchase securities through John Dodenhoff, OWM or LPL.

John Dodenhoff may provide advice regarding investment company securities and mutual funds. You should be aware that, in addition to the advisory fees paid by you, each investment company also charges its own separate investment advisory fees and other expenses (internal management fees). In addition, you should be aware that mutual funds may be purchased separately independent of the investment management services of OWM.

John Dodenhoff in his capacity as a registered representative of LPL or as an agent appointed with various life, disability or other insurance companies, receives commissions, 12(b) -1 fees, trails, or other compensation from the respective product sponsors and/or as a result of effecting securities transactions for you. However, you should note that clients have the right to decide whether to act on the recommendation to purchase any investment products through John Dodenhoff. He is able to offer a variety of advisory programs and services through LPL in addition to the advisory services he is able to offer through OWM.

John Dodenhoff holds an insurance license to sell insurance products and offers them through various carriers. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He will receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity creates a conflict of interests with clients. The client is under no obligation to purchase insurance through John Dodenhoff on a commissionable basis. To address this, disclosure is made to the client at the time purchase is made, identifying the nature of the transaction or relationship, the role to be played and any compensation to be paid by the client and/or received by the insurance agent. Clients have the right to decide whether to act on the recommendation and the right to purchase any insurance products through the insurance agent of their choice. The Firm and its Investment Adviser Representative will always act in the best interest of the client.

#### ITEM 5 – ADDITIONAL COMPENSATION

John Dodenhoff does not receive any economic benefit for providing advisory services beyond the scope of O'Donnell Wealth Management and business activities listed in Item 4.

#### ITEM 6 – SUPERVISION

John Dodenhoff is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Stephen O'Donnell Jr., who is responsible for administering the policies and procedures. As Chief Compliance Officer, Stephen O'Donnell Jr. reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Stephen O'Donnell Jr. may be reached at 307-586-4279.

#### ITEM 7: REQUIREMENTS FOR STATE-REGISTERED ADVISERS

John Dodenhoff has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.